

Format of Holding of Specified securities

1. Name of Listed Entity: AYE FINANCE LIMITED
2. Scrip Code/Name of Scrip/Class of Security: EQUITY SHARES
3. Share Holding Pattern Filed under: Reg. 31(1)(a)
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

YES* **NO***

	Particulars		
			NO*
a	Whether the Listed Entity has issued any partly paid up shares?		NO*
b	Whether the Listed Entity has issued any Convertible Securities ?		NO*
c	Whether the Listed Entity has issued any Warrants ?	YES*	
d	Whether Listed Entity has granted any ESOPs, which are outstanding?		
e	Whether the Listed Entity has any shares against which depository receipts are issued?		NO*
f	Whether the Listed Entity has any shares in locked-in?	YES*	
g	Whether any shares held by promoters are encumbered under "Pledged"?		NO*
h	Whether any shares held by promoters are encumbered under "Non-Disposal Undertaking"?		NO*
i	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?		NO*
j	Whether company has equity shares with differential voting rights?		NO*
k	Whether the listed entity has any significant beneficial owner?	YES*	

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) + (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities	Total No of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged		Non-Disposal Undertaking		Other encumbrances, if any		Total Number of Shares encumbered (XVII) = (XIV+XV+XVI)		Number of equity shares held in dematerialized form			
								Class X	Class Y	Total				Total as a % of (A+B+C)	No.	As a % of total Shares held	No.	As a % of total Shares held	No.	As a % of total Shares held	No.	As a % of total Shares held	No.		As a % of total Shares held	No.	As a % of total Shares held
(A)	Promoter & Promoter Group	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00	0			
(B)	Public	41464	244498877	0	0	244498877	99.07	244498877	0	244498877	99.07	5410327	249909204	99.09	180803831	73.95	111517	0.05	0	0	0	0	0	0	111517	0.05	244498877
(C)	Non Promoter-Non Public	1	2285389	0	0	2285389	0.93	2285389	0	2285389	0.93	0	2285389	0.91	0	0	0	0	0	0	0	0	0	0	0	2285389	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0	0	0	0	0	0	0	0	0	
(C2)	Shares held by Employees Trusts	1	2285389	0	0	2285389	0.93	2285389	0	2285389	0.93	0	2285389	0.91	0	0.00	0	0	0	0	0	0	0	0	0	2285389	
	Total:	41465	246784266	0	0	246784266	100.00	246784266	0	246784266	100.00	5410327	252184593	100.00	180803831	73.26	111517	0.05	0	0.00	0	0.00	0	0.00	111517	0.05	246784266

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders	No of shares
0	0

Table V - Statement showing details of Significant Beneficial Owners (SBOs)

Sno	Details of the significant beneficial owner		Details of the registered owner		Particulars of the shares in which significant beneficial interest is held by the beneficial owner		Date of creation/acquisition of significant beneficial interest
	I		II		III		IV
Sr No	Name	Nationality	Name	Nationality	Number of Shares	Shareholding as a % of total no of shares (Calculated as per SCRR 1957) As a % of (A+B+C2)	
1	Prince Hans-Adam II. Von Und Zu Liechtenstein	Liechtenstein	LGT CAPITAL INVEST MAURITIUS PCC	Mauritius	24794509	10.05	28-11-2016

Table VI - Statement showing foreign ownership limits		
	Board approved limits (%)	Limits utilized (%)
As on shareholding date	100	70.38
As on the end of previous 1st quarter	100	71.49
As on the end of previous 2nd quarter	100	71.49
As on the end of previous 3rd quarter	100	71.49
As on the end of previous 4th quarter	100	71.49